

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Jared L. Zerbe *et al.*

Application No.: 09/478,916

Group Art Unit: 2781

Filed: January 6, 2000

Examiner: Not yet assigned

For: LOW LATENCY MULTI-LEVEL
COMMUNICATION INTERFACE

Attorney Docket No.:
9797-050-999



April 18, 2000

Attn: BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
FILING DATE GRANTED**

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on February 18, 2000, Applicants submit the following documents to complete the filing for the above-identified application:

1. A copy of a Power of Attorney by Assignee;
2. Two signed copies of Declarations, one from seven inventors and one from one inventor, in compliance with 37 CFR 1.63;
3. Return copy of Notice to File Missing Parts of Application - Filing Date Granted.

Applicants also submit herewith the following documents for the above-identified application:

4. A Recordation Cover Sheet and copies of Two Assignments, one from seven inventors and one from one inventor; and
5. A copy of the Patent Application Fee Value sheet filed with the patent application on January 6, 2000, showing our standard language authorizing the PTO to charge the Pennie & Edmonds LLP Deposit Account No. 16-1150 for any required fees.

I hereby declare and certify, upon information and belief, that the copies of the documents listed above, and enclosed herewith, are true copies of the documents relating to patent application serial no. 08/478,916, filed January 6, 2000. We are submitting copies of the Declarations and Assignments because we believe that the originals were lost in the mail

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. I further declare that all statements made by me herein are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any willful false statements may jeopardize the validity of any patent resulting therefrom.

The Commissioner is authorized to charge any fees associated with this communication to our deposit account number 16-1150 (Order No. 9797-050-999). A copy of this sheet is enclosed for such purpose.

The documents submitted complete the filing of the above patent application and justify the filing date of January 6, 2000.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:



Gary S. Williams

Registration No. 31,066

3300 Hillview Avenue
Palo Alto, CA 94304
Telephone: (650) 493-4935

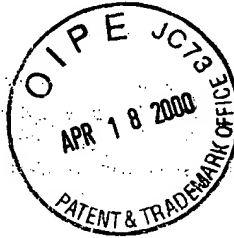
**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/478,916 ✓ 01/06/00 ✓ ZERBE

J 9797-050-999

020583 0212/0218
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK NY 10036-2711

2781

DATE MAILED:

02/18/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 2038.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:
\$ 360 for 20 total claims over 20.
\$ 858 for 11 independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer/Service Center

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PENNIE & EDMONDS LLP

COUNSELLORS AT LAW
1155 Avenue of the Americas
New York, N.Y. 10036-2711
(212) 790-9090

ATTORNEY DOCKET NO. 9797-050-999Date: January 6, 2000

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231



Sir:

The following utility patent application is enclosed for filing:

Applicant(s): ZERBE et al.

Executed on: Unexecuted

Title of Invention: LOW LATENCY MULTI-LEVEL COMMUNICATION INTERFACE

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	40	-20	20	\$18.00 each	\$ 360.00
Independent	14	-3	11	\$78.00 each	\$ 858.00
Minimum Fee					\$ 690.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
Total					\$ 1,908.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					\$ 0.00
Total Filing Fee					\$ 1,908.00

- ☒ Priority of application no. 60/158,189 filed on 10/19/99 is claimed under 35 U.S.C. § 119.
☐ The certified copy of the priority application has been filed in application no. filed
☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Janet M. Skafar for
Gary S. Williams (31,066)
PENNIE & EDMONDS LLP

41,315

(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.